

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: James A. Hagan, et al. : Date: September 17, 2001
Group Art Unit: Unassigned : IBM Corporation
Examiner: Unassigned : Intellectual Property Law
Serial No.: Unassigned : Dept. 917, Bldg. 006-1
Filed: Herewith : 3605 Highway 52 North
Title: EDGE FINISHING PROCESS FOR : Rochester, MN 55901
GLASS OR CERAMIC DISKS USED IN
DISK DRIVE DATA STORAGE DEVICES

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. (Unassigned)
Docket No. ROC9-2001-0062-US1

EN/11/11/11
3-1-02

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This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

EXPRESS MAIL CERTIFICATE

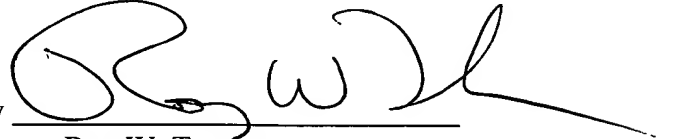
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Date: September 17, 2001

I certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.


James R. Nock

Respectfully submitted,

JAMES A. HAGAN, et al.

By 
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